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Published in:
Fisheries Research

Publication date:
2019

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Baudron, A. R., Serpetti, N., Fallon, N. G., Heymans, J. J., & Fernandes, P. G. (2019). Can the common fisheries policy achieve good environmental status in exploited ecosystems: The west of Scotland demersal fisheries example. *Fisheries Research*, 211, 217-230. <https://doi.org/10.1016/j.fishres.2018.10.024>

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Research

Manuscript Draft

Manuscript Number:

Title: Can the Common Fisheries Policy achieve Good Environmental Status in exploited ecosystems: the west of Scotland demersal fisheries example

Article Type: VSI: Advancing EBFM

Keywords: Common Fisheries Policy; Good Environmental Status; Ecosystem Based Fisheries Management; ecosystem modelling; Ecopath with Ecosim

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Manuscript Region of Origin: UNITED KINGDOM

Dear Editor,

Please find attached the manuscript titled "Can the Common Fisheries Policy achieve Good Environmental Status in exploited ecosystems: the west of Scotland demersal fisheries example". Here we apply an Ecosystem Based Fisheries Management approach to the west of Scotland demersal fisheries. We employ a food web ecosystem model to simulate candidate management scenarios in accord with the latest Common Fisheries Policy recommendation, and we assess their performance regarding Good Environmental Status. In doing so we identify what management measures would be required to recover the depleted stocks of cod and whiting, as well as insights on what ecosystem aspects should be considered in fisheries management. This manuscript contributes to the growing body of literature investigating how an Ecosystem Based Fisheries Management could be implemented in practice.

Best regards,

Alan Baudron

Highlights

- Importance of considering prey-predator interactions when managing fish stocks
- Traditional single stock approach would recover VIa cod but not VIa whiting
- Reduction of juvenile bycatch necessary to recover the VIa whiting stock
- Little overall impact of grey seals predation on cod and whiting

1 **Can the Common Fisheries Policy achieve Good Environmental Status in exploited**
2 **ecosystems: the west of Scotland demersal fisheries example**

3

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21 **Abstract**

22

23 The latest reform of the Common Fisheries Policy (CFP) which regulates the exploitation of
24 fish stocks in European waters entails a move from the traditional single stock management
25 towards Ecosystem Based Fisheries Management (EBFM). Meanwhile the Marine Strategy
26 Framework Directive dictates that Good Environmental Status (GES) should be achieved in
27 European waters by 2020. Here we apply an EBFM approach to the west of Scotland
28 demersal fisheries which are currently facing several management issues: depleted stocks of
29 cod (*Gadus morhua*) and whiting (*Merlangius merlangus*), increase predation from greys
30 seals (*Halichoerus grypus*), and bycatch of juvenile whiting by crustacean fisheries. A food
31 web ecosystem model was employed to simulate the outcomes of applying the traditional
32 single stock fishing mortalities (F), as well as management scenarios which explored F ranges
33 in accord with the latest CFP recommendation. Ecosystem indicators were calculated to
34 assess the performance towards achieving GES. The result highlighted the importance of
35 considering prey-predator interactions, in particular the impact of the top piscivorous
36 predators cod and saithe (*Pollachius virens*) on juvenile cod and whiting. The traditional
37 single stock approach would likely recover cod, but not whiting. Exploring the F ranges
38 revealed that a drastic reduction of juvenile whiting bycatch is necessary for whiting to
39 recover. Predation from greys seals had little impact overall, but did affect the timing of cod
40 and whiting recovery. With the exception of whiting, little difference was observed between
41 the single stock scenario, and the best GES scenario identified. The findings advocate for the
42 use of ecosystem modelling alongside the traditional, single stock assessment model used for
43 tactical decision making in order to inform management.

44

45 **Keywords:** Common Fisheries Policy; Good Environmental Status; Ecosystem Based

46 Fisheries Management; ecosystem modelling; Ecopath with Ecosim

47

48 **1. Introduction**

49

50 The exploitation of fish stocks in European waters is regulated by the Common Fisheries
51 Policy (CFP). Since its creation in the 1970s this long-standing policy has been through
52 several reforms, the latest of which took effect on January 1st 2014 (European Commission,
53 2013). This latest reform proposes a new framework to manage European fisheries and
54 amongst several new initiatives it highlights a need to move from traditional single-stock
55 management towards an ecosystem approach to fisheries (EAF) (Prellezo and Curtin, 2015).
56 EAF originated from the principle of sustainable development and aims at both human and
57 ecosystem well-being (Garcia et al., 2003). The implementation of EAF can vary between an
58 Ecosystem Approach to Fisheries Management (EAFM) in which ecosystem aspects are
59 given consideration when taking management decisions, to Ecosystem-Based Fisheries
60 Management (EBFM) in which ecosystem health becomes a management goal included in
61 trade-offs when pursuing competing management objectives (Patrick and Link, 2015). Most
62 importantly, EBFM prioritises the wellbeing of ecosystems over economic and social
63 objectives since the former is considered a prerequisite for the latter (Murawski et al., 2008).

64

65 While the new CFP advocates for the implementation of EBFM, it remains largely unclear
66 how to include conservation objectives within management measures in practice (Prellezo
67 and Curtin, 2015). The CFP currently aims to fish at levels consistent with achieving
68 Maximum Sustainable Yield (MSY) for all exploited stocks (European Commission, 2011).
69 In northern European waters, these fishing levels are proposed by the International Council
70 for the Exploration of the Sea (ICES) which delivers annual scientific advice for the
71 management of northern European fish stocks. This advice provides biological reference
72 points for each stock, including the level of fishing mortality (F) supposed to achieve MSY

73 (F_{MSY}). F_{MSY} is defined on a single-stock approach, meaning that it is calculated individually
74 for this stock based on its own status only, regardless of the status of other stocks. However,
75 this contradicts EBFM (Prellezo and Curtin, 2015), where the interactions between species
76 should be taken into account when defining safe harvest levels for fish stocks. In fact, while
77 F_{MSY} has long been considered a desirable exploitation level for single stocks (Schaefer,
78 1954), its performance in mixed fisheries, where several stocks are caught simultaneously by
79 the same fleet, has been challenged (Walters et al., 2005), largely due to the fact that it is
80 virtually impossible to apply F_{MSY} simultaneously to all stocks in mixed fisheries (Larkin,
81 1977). Nevertheless, despite this criticism recent empirical studies have shown that the
82 current MSY approach has succeeded in leading European fish stocks towards recovery
83 (Cardinale et al., 2013; Fernandes and Cook, 2013). This suggest that the traditional single
84 stock F_{MSY} values for European stocks may not be too far off the harvest levels needed to
85 achieve sustainable mixed fisheries, potentially facilitating the transition towards EBFM. For
86 example, Froese et al. (2008) have shown that EBFM can be achieved by improving existing
87 single-stock management.

88

89 In addition to the traditional advice and corresponding single stock F_{MSY} values, ICES now
90 also provides F_{MSY} ranges for most stocks in European waters, which consist of upper (F_{MSY}
91 _{upper}) and lower (F_{MSY} _{lower}) F boundaries around F_{MSY} within which the fishing mortalities are
92 deemed sustainable (ICES, 2016a, 2015). These ranges are a recent addition to the ICES
93 advice which were requested by the European Commission in order to develop long-term
94 management plans with quantifiable targets. F_{MSY} ranges should be precautionary and also
95 ensure that they deliver no more than a 5% reduction in long-term yield compared with F_{MSY} .
96 Whilst they do not originate from a proper multispecies approach such as the one used by the
97 mixed fisheries advice (ICES, 2017), the F_{MSY} ranges do provide some leeway around the

98 single stock F_{MSY} values which are usually difficult to apply simultaneously to all stocks. In
99 mixed fisheries, the Total Allowable Catch (TAC) derived from F_{MSY} for the least abundant
100 stock is most likely to be reached before the TACs of more abundant stocks are exhausted.
101 Such a situation typically leads to over-quota discarding, a practice no longer allowed as the
102 landings obligation is phased in for European fisheries (European Commission, 2015). As a
103 result, it has been proposed that in mixed fisheries the most vulnerable stock with the lowest
104 F_{MSY} should determine the limit of exploitation for all other stocks caught in the same fishery
105 (European Commission, 2011). However, such an approach is likely to result in a ‘choke
106 species’ scenario leading to the under-exploitation of other stocks and ultimately jeopardising
107 the fishery (Baudron and Fernandes, 2015).

108

109 Another regulation of European waters is the Marine Strategy Framework Directive adopted
110 in 2008 (European Parliament and Council of the European Union, 2008) which states that all
111 member states should reach Good Environmental Status (GES) by 2020 (COMMISSION OF
112 THE EUROPEAN COMMUNITIES, 2009). Although achieving GES differs from achieving
113 EBFM, GES measures the performance towards most of the biological and environmental
114 attributes of EBFM (Ramírez-Monsalve et al., 2016). GES is defined by 11 descriptors.
115 Descriptors 1 (biodiversity), 3 (commercial species), and 4 (food webs) directly relate to
116 fisheries and are therefore particularly relevant for EBFM. In order to integrate these GES
117 descriptors into an EBFM framework, indicators are needed to inform whether GES criteria
118 are met for each descriptor. Developing meaningful ecosystem indicators can be challenging
119 due to a lack of relevant data. However, ecosystem indicators for descriptors 1, 3 and 4 can
120 be derived from biomass and/or catch data which are available for most species in ecosystems
121 found in EU waters (Coll et al., 2016; Gascuel et al., 2016; Kleisner et al., 2015; Reed et al.,
122 2017). In addition, the information provided individually by a single ecosystem indicator is

123 limited and it is therefore preferable to use a portfolio of indicators to fully assess each
124 descriptor (Samhuri et al., 2009). Lastly, GES indicators also need to be informative.
125 Ideally, what constitutes GES should be defined for each indicator in order to assess whether
126 an ecosystem has reached GES or not based on indicator values. For example, Link (2005)
127 proposed reference points for some ecosystem indicators, in which case the examination of
128 indicators' trends relative to the reference point values can then be used as a basis for
129 management recommendations (Jennings and Rice, 2011). However, not all ecosystem
130 indicators have clearly defined reference points, and whether these reference points are
131 transferable across ecosystems with different characteristics is uncertain.

132

133 EBFM can benefit from ecosystem modelling in order to explore policy options where
134 management objectives involve multiple species and their trophic interactions (e.g. diversity,
135 abundance of non-target species, etc.) which cannot be assessed with single-species models
136 (Christensen and Walters, 2005). To this end, Plagányi (2007) reviewed available ecosystem
137 models spanning a wide range of complexity levels from minimum realistic models to whole
138 ecosystem ones. This latter category includes Ecopath with Ecosim (EwE), a food web
139 ecosystem model (Villy Christensen and Walters, 2004). EwE is the most applied tool for
140 modelling marine ecosystems (Colléter et al., 2015) and can be used to investigate marine
141 policy issues such as GES (Christensen and Walters, 2004). However it is crucial to
142 demonstrate that a model can replicate historical trends in ecosystems, in order to ensure that
143 it can make plausible extrapolations to novel situations before any management decision can
144 be based upon it (Christensen and Walters, 2005). Of the vast number of EwE models that
145 have been published, only a few have been calibrated using historical time series of data and
146 even fewer have been employed for management purposes (Heymans et al., 2016). One EwE

147 model fulfilling these two criteria was recently published for the west of Scotland ecosystem
148 (Alexander et al., 2015; Serpetti et al., 2017).

149

150 The west of Scotland ecosystem (WoS) located in ICES Division VIa is home to numerous
151 valuable species of finfish and shellfish which supports several fisheries: an inshore
152 crustacean fishery targeting the valuable Norway lobster (*Nephrops norvegicus*); a mixed
153 demersal fishery targeting cod (*Gadus morhua*), haddock (*Melanogrammus aeglefinus*) and
154 whiting (*Merlangius merlangus*) on the continental shelf; a fishery for monkfish (*Lophius*
155 *piscatorius*), hake (*Merluccius merluccius*) and saithe (*Pollachius virens*) in the deeper
156 waters of the shelf edge; and a pelagic fishery targeting mainly mackerel (*Scomber scombrus*)
157 and herring (*Clupea harengus*) (ICES, 2016b, 2016c, 2016d, 2016e, 2016f, 2016g). In 2014,
158 these fisheries contributed to 35% of the total value of all commercial species caught in
159 Scotland, totalling £182.5 million (The Scottish Government, 2015) and are, therefore,
160 important for the Scottish fishing industry. However the WoS fisheries are currently facing
161 several management issues. First, the stocks of cod and whiting are depleted and their Total
162 Allowable Catches (TACs) have been set to zero since 2012 and 2006 respectively (ICES,
163 2016c). Secondly, the extensive bycatch of juvenile gadoids by the crustacean fishery is
164 thought to jeopardise gadoid stocks, whiting in particular (ICES, 2016c). Thirdly, the
165 population of grey seals (*Halichoerus grypus*), a top predator in the WoS, has been increasing
166 steadily over the last two decades (SCOS, 2015). While Alexander et al. (2015) suggest that
167 excessive exploitation rates rather than an increase in predators were to blame for the collapse
168 of cod and whiting, increased predation from seals seems to have offset the reduction of
169 fishing pressure on VIa cod (Cook et al., 2015) and is likely to hamper the recovery from low
170 stock sizes (Cook and Trijoulet, 2016). The complexity of the WoS food web and the mixed
171 fisheries it supports, coupled with management challenges and the availability of an

172 ecosystem model makes it an ideal case study to assess how EBFM may perform towards
173 achieving specific management goals such as GES.

174

175 Here, we reviewed and updated the EwE model for WoS with the latest data available and
176 repeated the calibration procedure to extend the hindcasting period from 1985 to 2013. We
177 used this model to explore the F_{MSY} ranges of the demersal stocks by performing forward
178 simulations of every possible combination of fishing mortalities within these ranges.
179 Additional exploitation scenarios were performed to investigate the impact of juvenile
180 whiting bycatches by the crustacean fishery and the impact of grey seals predation. For each
181 scenario, ecosystem indicators related to GES descriptors 1, 3 and 4 were calculated. Outputs
182 from the models were analysed to assess whether the single stock F_{MSY} and/or F_{MSY} ranges
183 implemented by the CFP could achieve GES in WoS the demersal fishery. Management
184 measures required to recover the cod and whiting stocks were also identified.

185

186

187 **2. Material and methods**

188

189 ***2.1. The model***

190

191 The model was built using the EwE software version 6.5 released in July 2016
192 (www.ecopath.org). EwE consists of two components: (i) Ecopath, a mass-balance model
193 accounting for energy transfers in the ecosystem which depicts a ‘snapshot’ of the ecosystem
194 in a given year; and (ii) Ecosim, the dynamic component which allows for temporal
195 simulations based on Ecopath. Ecosim is based on the foraging arena theory (Ahrens et al.,
196 2012), and each prey-predator interaction is defined by a vulnerability parameter which

197 describes whether the interaction is bottom-up (vulnerability < 2), top-down (vulnerability >
198 2), or neither bottom-up nor top-down (vulnerability = 2) controlled. Both Ecopath
199 (Christensen and Pauly, 1992; Polovina, 1984; Walters et al., 1997) and Ecosim (Villy
200 Christensen and Walters, 2004; Walters and Christensen, 2007) have been documented
201 extensively, and further details can be found in these publications.

202

203 The EwE model for WoS used in this study was first built by Haggan and Pitcher (2005),
204 then updated by Bailey et al. (2011) and Alexander et al. (2015). It was recently extended by
205 Serpetti et al. (2017) who introduced species-specific thermal preference functions in order to
206 drive the model with ocean temperature. The impact of temperature is beyond the scope of
207 this study. Here, we built on the model published by Alexander et al. (2015) by applying the
208 same update as done by Serpetti et al. (2017), minus the inclusion of temperature as a driver.

209 The area modelled corresponds to the continental shelf of ICES Division VIa within the 200
210 m depth contour and covers ~110,000 km² (Fig.1). The model comprises 41 functional groups
211 (Table S1) spanning ~5 trophic levels which include 3 marine mammals, seabirds (as a single
212 group), 23 fish, 5 invertebrate groups, 1 cephalopod group, 2 zooplankton, 3 benthos, 2
213 primary producers, and 1 detritus group. The model has five fishing fleets: demersal trawl,
214 *Nephrops* trawl, other trawl, potting and diving, and pelagic trawl. The cod, haddock and
215 whiting groups are split between juvenile (age 0 and 1) and adult (age 2 and above). The start
216 year of the model on which Ecopath is based is 1985 (see Bailey et al. (2011) and Alexander
217 et al. (2015) for more details about Ecopath parameters).

218

219 **2.2. Update**

220

221 The update of Ecopath consisted of two steps. First, the 1985 biomass starting values of
222 groups for which data was available were updated using the latest stock assessments (Table
223 S1) while the 1985 catches for all groups were updated with the latest landings (Table S2)
224 and discards (Table S3) data (when available). In addition, the curvature parameter (i.e. K
225 from the von Bertalanffy growth function) needed to model the growth of the three multi-
226 stanza groups (cod, haddock and whiting) was updated by fitting a von Bertalanffy growth
227 function to age-length keys obtained from the ICES DATRAS database
228 (https://datras.ices.dk/Data_products/Download/Download_Data_public.aspx) for those three
229 groups. Secondly, the diet matrix used by Ecopath was updated. Adjusting the diet matrix is a
230 powerful and surprisingly rare way to improve EwE models (Ainsworth and Walters, 2015).
231 To improve the goodness of fit, the diet matrix was updated following these consecutive
232 steps: (i) the data and proxies used by Bailey et al. (2011) and Alexander et al. (2015) to build
233 the diet matrix were reviewed; (ii) the diet composition of each group was checked
234 individually against existing literature for unusual prey; (iii) when unusual prey/predator
235 links were found these were removed and/or amended based on (in the following order):
236 available literature; the DAPSTOM database (Pinnegar, 2014); the diet matrices of the EwE
237 models from two neighbouring and closely related ecosystems, North Sea (Mackinson and
238 Daskalov, 2007) and Irish Sea (Lees and Mackinson, 2007). The updated diet matrix is given
239 in Table S4. To ensure a coherent and ecologically sound mass-balance, the pre-balance
240 (PREBAL) analysis depicted by Link (2010) was applied to the updated Ecopath model.

241

242 To update Ecosim, the time series of biomass, catches, and fishing mortalities driving the
243 model were updated (from 1985 onwards) and extended (up to 2013) for as many groups as
244 possible using the latest data available. While catch time series are handled on an absolute
245 scale in the calibration process, biomass time series are handled on relative scale: having the

246 correct biomass trend is, therefore, more important than having the correct range of values.
247 To this end it was deemed preferable to estimate the biomass time series directly from
248 scientific survey data rather than from assessment model estimates, whenever possible. For
249 demersal and benthic groups, biomass time series were calculated using bottom trawl surveys
250 data obtained from the ICES DATRAS database following the method from Baudron and
251 Fernandes (2015) with the exception of cod, haddock and whiting for which stock assessment
252 estimates (ICES, 2014a) were necessary to obtain separate biomass time series for both
253 stanzas. For Norway lobster, abundance estimates from underwater TV surveys (ICES,
254 2014a) were summed up across the three functional units within the model area (FU 11, 12
255 and 13) and used as biomass time series. Since pelagic species are not effectively captured by
256 bottom trawl surveys, whenever possible other data sources were preferred to get reliable
257 biomass trends. For herring, total stock biomass estimates from acoustic surveys available for
258 the subarea VIa north which comprises the bulk of the VIa stock (ICES, 2014b) were used.
259 For mackerel, horse mackerel *Trachurus trachurus* and blue whiting *Micromesistius*
260 *poutassou*, total stock biomass estimates for the western shelf (ICES, 2014c) were scaled
261 down to VIa using the average proportion of landings realised in this area. For grey seals,
262 estimates of pup production from Inner and Outer Hebrides (SCOS, 2015) were summed up
263 and used as biomass trend. For harbour seals, pup count values were only available every five
264 years (SCOS, 2015) but were preferred to model estimates as the biomass trend indicator.
265 Abundances values of small (< 2 mm) and large (> 2 mm) zooplankton, and phytoplankton
266 Colour Index (PCI) were obtained from the Sir Alister Hardy Foundation for Ocean Science
267 (SAHFOS). The PCI constitutes a semi-quantitative representation of the total phytoplankton
268 biomass (Batten and Walne, 2011).
269

270 Catch time series for both stanzas of cod, haddock and whiting were obtained from stock
271 assessment reports as these include discards and are corrected for misreporting. Contrary to
272 cod and whiting assessed in VIa, haddock is now assessed for both areas IV and VIa (ICES,
273 2014d). As a result, it was assumed that 9.5 % of northern shelf haddock catches are realised
274 in VIa as this is the threshold managers agreed upon when splitting the TAC between areas
275 IV and VIa (European Union, 2015). For all other groups, 1985-2013 time series of VIa
276 landings were obtained from STATLANT (STATLANT, [http://ices.dk/marine-data/dataset-](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)
277 [collections/Pages/Fish-catch-and-stock-assessment.aspx](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)) and 2003-2013 discard rates were
278 obtained from STECF (REF) to estimate the 2003-2013 catch time series. 1985-2002 catch
279 time series were estimated by inversely applying 2003-2013 average discard rates to 1985-
280 2002 landings time series. In EwE, F corresponds to the exploitation rate which is the catch to
281 biomass ratio (C/B). To get F time series, biomass time series were adjusted so that the 1985
282 starting values correspond to the 1985 biomass estimates from Ecopath before calculating
283 C/B to ensure sensible F values: while standardised survey sampling capture biomass trends,
284 the corresponding biomass values are often much smaller than stock assessment estimates.
285 Lastly, the “feeding time adjustment rate” was set to 0.5 for mammal groups as suggested by
286 Christensen *et al.* (2008) and to 0.2 for juvenile stanzas which still feed on egg content in
287 early life stages while the default value of 0 was used for all other groups. The time series of
288 biomass, catch, F, and forced catches (i.e. catches used to drive the model for groups for
289 which F could not be calculated due to lack of either C or B) inputs used to fit Ecosim are
290 given in Tables S5-8.

291

292 **2.3. Parameterisation**

293

294 For the model to be reliable enough for EBFM it is essential that Ecosim captures the food
295 web processes. This is shown by the ability to reproduce historical trends in biomass and
296 catches when historical fishing mortalities are applied. Ecosim includes a ‘fit to time series’
297 module which identifies the prey-predator interactions most sensitive to changes in
298 vulnerability (Tomczak et al., 2012). The parameterisation then consists of adjusting these
299 vulnerabilities until the best ‘fit’ of the model outputs to historical time series is achieved.
300 Goodness-of-fit is assessed by the sum of squared differences between the predicted and
301 observed values on a log scale (Christensen et al., 2008). The fitting procedure described in
302 Alexander *et al.* (2015) was applied and the following candidate models were tested (see
303 Alexander *et al.* (2015)) for more details):

304

- 305 (i) Baseline: no fishing or environmental forcing and vulnerabilities set at 2
- 306 (ii) Baseline + trophic effects: same as (i) except vulnerabilities are adjusted to fit the
307 data
- 308 (iii) Baseline + environmental forcing: same as (i) except the ‘fit to time series’
309 identifies a time series of values (forcing function) that improves the fit by
310 impacting the predicted biomasses through primary production (subsequent
311 analyses can be performed to link the forcing function to existing environmental
312 drivers). This forcing function is a spline curve, and the maximum number of
313 spline points tested was limited to five so as to not over-parameterise the model
314 (Tomczak et al., 2012), as done by Alexander et al. (2015).
- 315 (iv) Baseline + trophic effects + environmental forcing: combination of (ii) and (iii)
- 316 (v) Fishing: fishing mortalities are included to drive the model, no environmental
317 forcing and vulnerabilities set at 2

- 318 (vi) Fishing + trophic effects: fishing mortalities are included to drive the model and
319 vulnerabilities are adjusted to fit the data
- 320 (vii) Fishing + environmental forcing: combination of (iii) and (v)
- 321 (viii) Fishing + trophic effects + environmental forcing: combination of (vi) and (vii)

322

323 The best candidate was selected with Akaike's Information Criterion (AIC) which identifies
324 the best trade-off between goodness-of-fit and number of parameters (Mackinson et al.,
325 2009). Instead of manually selecting the number of vulnerabilities to adjust prior to running
326 the 'fit to time series' module (Alexander et al., 2015; Tomczak et al., 2012), an automated
327 stepwise fitting procedure (Scott et al., 2015) was used. This 'stepwise fitting' module has
328 been included in the latest release of the EwE software (version 6.5) and allows for testing
329 every possible combination of parameters by automatically running the 'fit to time series'
330 with successive increments of the number of vulnerabilities and/or spline points of the
331 forcing function for each candidate model (ii) to (viii). The stepwise fitting procedure tested
332 1,990 model interactions based on 28 time-series of relative biomasses, 22 time-series of
333 catches, 22 time-series of F and 9 time-series of forced catches with a total of 1,355
334 observations (observed data points) estimating a maximum number of 49 parameters (based
335 only on independent time-series). The fitting procedure searched for vulnerability parameters
336 "by predator" for all iterations assuming the same top-down or bottom up control of the
337 predator on all its prey (Scott et al., 2015).

338

339 ***2.4. Management scenario simulations***

340

341 Once parameterised, the best candidate model was used to explore the possible management
342 scenarios for the WoS demersal fishery which adhere to the current CFP recommendations.

343 The six demersal species considered here for the demersal fishery are cod, haddock, whiting,
344 saithe, hake, monkfish. Saithe and hake are part of larger groups, pollock and large demersals
345 respectively, composed of more than one species (Table S9). According to Bailey et al.
346 (2011), the pollock group is largely dominated by the saithe (97%) and the large demersals
347 group by hake (ca. 60%, although given recent estimates from Baudron and Fernandes
348 (2015), this proportion is likely to be much higher). The groups pollock and large demersals
349 were therefore considered here as being representative of these two single species, and are
350 hereafter referred to as saithe and hake. Forward simulations were performed for a period of
351 20 years (i.e. 2014-2033) for each scenario. Firstly, a status quo scenario ($F_{\text{status quo}}$) was
352 performed by keeping F equal to the last historical value (F_{2013}) for all species in the model
353 (Table 1) and used as a reference level. Secondly, a F_{MSY} scenario was performed by
354 applying the single stock F_{MSY} values from ICES. Only cod and whiting have stocks with a
355 corresponding F_{MSY} defined for area VIa, in which the model area is located. For other
356 species, the F_{MSY} defined for stock areas which encompass area VIa were used as best
357 available proxies (Table 1). Lastly, the F_{MSY} ranges were explored for demersal species,
358 whilst single stock F_{MSY} values were applied to Norway lobster and pelagic species. Akin to
359 single stock F_{MSY} values, the best available proxies were used when needed (Table 1). The
360 F_{MSY} ranges were explored by simulating, for each species, the $F_{\text{MSY upper}}$ and $F_{\text{MSY lower}}$
361 boundaries and F values in between these two boundaries with a 0.05 increment (Fig. 2a). In
362 order to investigate management strategies likely to recover cod and whiting, the $F_{\text{MSY lower}}$
363 boundaries simulated were lowered to $F=0.05$, this value corresponding to the observed
364 residual F experienced by species not targeted by fisheries (e.g. juvenile cod, see Table S7).
365 Since haddock is also located on the shelf and likely to be caught together with these two
366 species, the cod F_{MSY} range was also applied to haddock (Fig. 2a). The F_{MSY} ranges simulated
367 therefore differed slightly from the ones given by ICES, but did however encompass them

368 (Table 1). To investigate the impact of reducing juvenile whiting bycatches by the crustacean
369 fishery, the F_{MSY} range applied to adult whiting was also applied to juvenile whiting in order
370 to simulate a reduction from $F_{status\ quo}$ of 0.17 (Table S7) down to $F=0.05$ (Fig. 2a). To
371 investigate the impact of a reduction in predation by grey seals, 5% and 10% culls were
372 simulated by applying F_s of 0.05 and 0.10 to grey seals, in addition of the current no cull
373 ($F=0$) situation (Fig. 2a). Simulations were carried out for all possible combinations of F_s
374 within the F_{MSY} ranges tested, resulting in 180,000 scenarios being explored in addition to the
375 $F_{status\ quo}$ and F_{MSY} scenarios. These simulations were performed using the Multisim plugin
376 from the EwE software (Steenbeek et al., 2016).

377

378 **2.5. GES indicators**

379

380 To assess whether the management scenarios tested achieve GES, and further identify which
381 scenario is most likely to achieve GES, the following ecosystem indicators (hereafter referred
382 to as GES indicators) were calculated using the model outputs for all scenarios.

383

384 *2.5.1. Biomass*

385

386 GES implies that all fish stocks are harvested sustainably and therefore within safe biological
387 limits: the spawning stock biomass (SSB, i.e. adult individuals) should be above biological
388 reference points. The stocks of cod and whiting which are currently depleted are the only two
389 stocks with the biological reference points biomass limit (B_{lim}) and precautionary biomass
390 (B_{pa}) defined for area VIa (cod: $B_{lim} = 14,000$ t, $B_{pa} = 22,000$ t; whiting: $B_{lim} = 31,900$ t, $B_{pa} =$
391 $44,600$ t) in which the model area is located (ICES, 2016c). The biomass outputs from the
392 model were therefore used as indicators, in conjunction with the biological reference points,

393 to assess whether each scenario led to the cod and whiting stocks remaining depleted
394 (biomass < B_{lim}), being at risk (B_{lim} < biomass < B_{pa}), or recovering (biomass > B_{pa}). This
395 indicator relates to the GES descriptor 3: commercial species.

396

397 *2.5.2. Shannon's diversity index*

398

399 Shannon's diversity index (SI) is an indicator of biodiversity commonly used to assess the
400 impact of fishing on food webs (Gascuel et al., 2016). This indicator was calculated
401 following the formula from Shannon (1948):

402

$$403 \quad SI = \sum_G (P_G \cdot \log_2(P_G)) \quad (1)$$

404

405 where P_G is the proportion in weight of the functional group G in the yearly biomass. This
406 indicator relates to the GES descriptor 1: biodiversity.

407

408 *2.5.3. Marine trophic index*

409

410 The mean trophic index (MTI) is an indicator of the trophic structure of the upper (trophic
411 level 3.25 and above) part of the food web which includes most commercial fish species and
412 therefore is expected to be impacted the most by fishing (Pauly and Watson, 2005). This
413 indicator was calculated as follows:

414

$$415 \quad MTI = \sum(TL_G \cdot W_G) / \sum W_G \quad (2)$$

416

417 where TL_G is the trophic level of the functional group G (for groups with a trophic level \geq
418 3.25), W_G is the weight of the functional group G in the yearly biomass. This indicator relates
419 to the GES descriptor 4: food webs.

420

421 *2.5.4. Mean maximum length*

422

423 The mean maximum length (MML) is an indicator of the species composition of the food
424 web where fishing is expected to lead to a decline in the proportion of large species (Shin et
425 al., 2005). This indicator was calculated as follows:

426

$$427 \quad MML = \frac{\sum(W_G \cdot L_{\infty G})}{\sum W_G} \quad (3)$$

428

429 where W_G is the weight of the functional group G present in the yearly biomass and $L_{\infty G}$ is the
430 asymptotic length of the functional group G obtained by averaging L_{∞} values obtained from
431 Fishbase (Froese and Pauly, 2017; www.fishbase.org) across species in each functional group
432 (Table S9). This indicator relates to the GES descriptor 4: food webs.

433

434 *2.5.5. Food web evenness index*

435

436 The Food Web Evenness index (FWE) is an indicator of biodiversity which, unlike
437 Shannon's diversity index, not only considers the overall diversity of species but also a
438 balanced biomass distribution across trophic levels and evenness of species within each
439 trophic level. This indicator is obtained by inverting either the Canberra or the Bray-Curtis
440 dissimilarity index, BC , calculated based on the dissimilarity of the expected and observed
441 biomass of a functional group G , as follows:

442

$$443 \quad BC = (\sum_G |B_{Ge} - B_{Go}|) / \sum_G (B_{Ge} + B_{Go}) \quad (4)$$

444

445 where B_{Ge} and B_{Go} are the expected and observed biomass of the functional group G within
446 its trophic level, respectively. The expected biomass is calculated by defining a reference
447 state of ‘food web evenness’ in which group biomasses are decreasing with increasing trophic
448 levels, and all groups within a trophic level have equal biomasses (for more details please
449 refer to appendix A). An advantage of FWE is that it is independent of the total biomass in
450 the system. Therefore FWE only tracks relative changes in species biomasses, i.e. in the
451 compositional diversity of the community. This indicator relates to the GES descriptor 1:
452 biodiversity.

453

454 **2.6. Identify the best GES scenario**

455

456 Apart from the biomass indicator for which thresholds (i.e. B_{lim} and B_{pa}) are defined for the
457 depleted stocks of cod and whiting, none of the four GES indicators used to assess descriptors
458 1 and 4 have clear thresholds defined above which GES is considered reached. Instead, for
459 these four indicators (H , MTI , MML , FWE) it was simply considered that the higher the value
460 the better, and that a scenario achieving high values across these four indicators is more likely
461 to achieve GES than a scenarios achieving lower values (Coll et al., 2016; Kleisner et al.,
462 2015; Reed et al., 2017). Therefore, in order to identify the scenario most likely to achieve
463 GES (hereafter referred to as best GES scenario) the following framework was applied:

- 464 (i) To achieve GES, a scenario should recover the depleted stocks of cod and whiting
465 within safe biological limits (i.e. above B_{pa})
- 466 (ii) The recovery of depleted stocks should be achieved as early as possible

467 (iii) Among scenario(s) that satisfy conditions (i) and (ii), the best GES scenario is the
468 one achieving the highest values overall across the four GES indicators *H*, *MTI*,
469 *MML*, and *FEW*. The best GES scenario was identified through this three steps:
470 firstly, the amplitude of the time series of all four GES indicators was standardised
471 by subtracting the mean and dividing by the standard deviation; secondly, for each
472 indicator, the difference between each scenario's value reached in 2033 and the
473 maximum across all scenarios was calculated; thirdly, the best GES scenario is the
474 one with the smallest sum of differences across the four GES indicators.

475

476

477 **3. Results**

478

479 **3.1. Hindcast**

480

481 Once the updated Ecopath model was successfully balanced, PREBAL (Link, 2010)
482 diagnostics were carried out (data not shown) and confirmed that: biomasses across trophic
483 level span 5 – 7 orders of magnitude; the biomass slope on a log scale declines by ca. 5 – 10%
484 with increasing trophic levels; predator/biomass ratios are <1; and vital rates decline with
485 increasing trophic levels. These diagnostics suggest that the Ecopath model is ecologically
486 sound (Link, 2010). The structure of the updated Ecopath food web is depicted in Figure 3,
487 and the corresponding parameters in Table S1.

488

489 The best fitted model with the lowest AIC was obtained when fishing, trophic effects and
490 environmental forcing were applied (Model 8, see Table 2). This model improved the fit by
491 62% compared to the baseline model. Adding fishing alone improved the fit by 25%, while

492 the combination of fishing and trophic effects reduced the sum of squares by 61%. Adding a
493 forcing function further reduced the sum of squares by 1%, resulting in the lowest AIC
494 overall. The environmental forcing function on primary producers identified by the fitting
495 procedure is a spline curve with three spline points. Correlations between this forcing
496 function and the environmental indices North Atlantic Oscillation (NAO) and Atlantic
497 Multidecadal Oscillation (AMO), as well as the Sea Surface Temperature (SST) were
498 explored with Pearson product moment correlation tests. SST data was obtained from the
499 Hadley Centre HadISST dataset (<http://www.metoffice.gov.uk/hadobs/hadisst/>), while NAO
500 and AMO values were obtained from NOAA
501 (<https://www.esrl.noaa.gov/psd/data/timeseries/>). While correlations with SST and NAO
502 were borderline and not significant respectively, AMO was the index most correlated with the
503 forcing function with a highly significant correlation (Table S10, Fig. S1). As a result, a
504 smoothed AMO index obtained by fitting a Loess (local regression) smoothing curve with a
505 span of 0.5 (Fig. S1c) was substituted to the three spline point curve in the model and used as
506 the environmental forcing function on producers.

507

508 The model performed fairly well in reproducing the historical biomass trends of most
509 functional groups over the hindcast period (1985-2033), particularly for demersal species
510 such as cod, whiting, saithe and monkfish (Fig. 4). Biomass trends were also fairly well
511 captured for *Nephrops* and pelagic species except in early years (1985-1990) for mackerel
512 and horse mackerel. The historical biomass trends of grey seals was not captured as well,
513 although the model did produce an increasing trend as observed from the historical data. The
514 model also succeeded in reproducing the observed catch trends for most groups apart from
515 monkfish in the 1990s (Fig. S2). Catches of hake, mackerel and *Nephrops* were slightly
516 overestimated, while blue whiting catches were slightly underestimated in the 2000s. The

517 model showed mixed results regarding the ability to reproduce historical trends of GES
518 indicators (Fig. 5). Historical values for the two food web indicators, MML and MTI, were
519 well matched apart from a peak in the mid-2000s largely driven by the large increase in hake
520 (Fig. 4). The two diversity indicators SI and FWE, however, were overestimated by the
521 model, especially SI. Nevertheless, the model outputs did reproduce the shape of the
522 historical trends to some extent, indicating that the GES indicators returned by the model can
523 be used to compare management scenarios to one another.

524

525 **3.2. Forecast**

526

527 No forward projections of the AMO index are available. However, this index has been
528 increasing over the model hindcast period (1985-2033), is known to follow a cyclical pattern,
529 and is now approaching a cooling phase (Kotenev et al., 2011). Thus, the mirror values of the
530 smoothed AMO index over 1985-2013 (Fig. S1c) were used as best available proxy and
531 applied as the environmental forcing function of primary producers over the simulation
532 period (2014-2033) when simulating the management scenarios, as done by Serpetti et al.
533 (2017).

534

535 The $F_{\text{status quo}}$ scenario revealed little to no change for most species biomass (Fig. 4) and catch
536 (Fig. S2) levels compared to the last historical year: cod and whiting remained depleted,
537 while other species either remained on par with 2013 levels or quickly reached a plateau,
538 except herring and horse mackerel which kept declining over the simulation period. The F_{MSY}
539 scenario entailed an increase in F for all species except cod, herring and horse mackerel
540 (Table 1). This led to a recovery of cod SSB above B_{pa} and an increase in horse mackerel
541 biomass but did not stop herring biomass from decreasing despite temporarily curbing the

542 decline. Single stock F_{MSY} values did not recover whiting SSB which remained well below
543 B_{lim} . However, despite experiencing an F three times greater, whiting achieved a higher SSB
544 with F_{MSY} ($F=0.18$) than with $F_{status\ quo}$ ($F=0.06$). Similar observations were made for haddock
545 which experienced an increase from $F_{status\ quo} = 0.17$ to $F_{MSY} = 0.19$. This is most likely due to
546 a reduction in the predation pressure from the piscivorous top predators saithe, monkfish and
547 hake which all experienced substantial biomass reductions under F_{MSY} . Grey seals also
548 suffered from a reduction in biomass despite experiencing no cull under F_{MSY} , likely due to a
549 reduction in food supply caused by the lower biomass overall across fish species. Catches
550 realised under F_{MSY} were greater than under $F_{status\ quo}$ across all species except *Nephrops*,
551 suggesting that F_{MSY} would lead to higher yield even for species experiencing a reduction in
552 F .

553

554 Out of the 180,000 scenarios tested to explore the F_{MSY} ranges, only 260 recovered both the
555 stocks of cod and whiting above B_{pa} by 2033. Out of these 260 scenarios, the earliest date at
556 which recovery above B_{pa} was achieved for both depleted stocks differed among the levels of
557 seal cull considered: 10 scenarios achieved recovery in 2027 with no seal cull, 20 scenarios
558 achieved recovery in 2028 with a 5% seal cull, and 5 scenarios achieved recovery in 2029
559 with a 10% seal cull. These 35 scenarios are hereafter referred to as recovery scenarios.
560 Culling grey seals had no effect on how quickly the depleted stocks recovered above B_{lim} :
561 cod and whiting reached the threshold in 2021 and 2024 at the earliest, respectively,
562 regardless of the level of culling applied here. However, culling grey seals did affect when
563 the recovery above B_{pa} was achieved. Cod reached the threshold in 2022 with a 10% cull, a
564 year earlier than with a 5% cull or no cull. In contrast, the recovery of whiting above B_{pa}
565 appeared slower with higher levels of culling, with the threshold reached in 2027 without cull
566 while a 5% and 10% cull led to the threshold being reached in 2028 and 2029 respectively.

567

568 The fishing mortalities applied in the 35 recovery scenarios are displayed in grey in Figure 2b
569 and the corresponding biomass trajectories in Figure 4. The recovery of the cod and whiting
570 stocks was achieved with F values within the F_{MSY} ranges from ICES, with the exception of
571 whiting which required a much lower F (Fig. 2b). Although these 35 recovery scenarios did
572 achieve the recovery of both cod and whiting above B_{pa} , for both species the increase in
573 biomass levelled off around 2030 after which it started decreasing again, with the whiting
574 SSB biomass dipping below B_{pa} by 2033 in all recovery scenarios (Fig. 4). Out of the 35
575 recovery scenarios, the recovery of both cod and whiting was only achieved when the highest
576 F of the ranges explored was applied to cod ($F=0.25$) and saithe ($F=0.42$), and the lowest
577 possible F (0.05) applied to both adult and juvenile whiting. In contrast, recovery was
578 achieved with all possible F values of the range explored for monkfish and grey seals which
579 indicate that these two top predators did not hinder the cod and whiting stocks recovery,
580 although the predation from grey seals had a slight impact on the date when B_{pa} was reached
581 for these two stocks, as detailed above.

582

583 The 35 recovery scenarios all resulted in similar values of GES indicators across the
584 simulation period, with the exception of the FWE index which showed more variability
585 across scenarios (Fig. 5). As a result, the scenario identified as the best GES scenario was
586 also the one returning the highest FWE values. Both the best GES scenario and the F_{MSY}
587 scenario produced similar trajectories for all GES indicators over the simulation period,
588 except for the FWE index between 2014 and 2025. However, for all GES indicators the best
589 GES scenario either outperformed the F_{MSY} scenario (e.g. SI), or caught up with it by 2033
590 (e.g. MML). Both the best GES and F_{MSY} scenarios resulted in lower values than the $F_{status\ quo}$
591 scenario for the two food web indicators, MML and MTI, although for MML all three

592 scenario ended up with similar values in 2033. This is likely due to the high biomasses of
593 saithe and hake observed under the $F_{\text{status quo}}$ scenario, with the abundance of these two large
594 top predator species resulting in high MML and MTI values despite the low biomasses of
595 other large top predators such as cod and whiting. In contrast, the best GES and F_{MSY}
596 scenarios both resulted in higher values than the $F_{\text{status quo}}$ scenario for the two biodiversity
597 indicators SI and FWE, indicating that these two scenarios led to a more diverse and even
598 species composition of the WoS ecosystem.

599

600 The best GES scenario identified via the GES indicators was achieved when the highest F of
601 the ranges explored for haddock ($F=0.25$) and monkfish ($F=0.41$) were applied, while an F
602 slightly above the middle of the range explored ($F=0.35$) was applied to hake (Fig. 2c). While
603 the non-culled biomass of grey seals did not prevent the recovery of cod and whiting, despite
604 slightly impacting the date when this recovery was achieved as explained above, the best
605 GES scenario was achieved when a 5% cull was applied to grey seals. This indicates that,
606 while the predation from grey seals does not prevent stock recovery, it does have an impact,
607 however small, on the food web structure and biodiversity of the WoS ecosystem. Apart from
608 grey seals which experience a 5% cull under the best GES scenario, the best GES and F_{MSY}
609 scenarios produced similar biomass trajectories which were actually closely aligned for most
610 species with one major exception, whiting, which did not recover under the F_{MSY} scenario
611 (Fig. 4). Likewise, apart from cod and haddock which experienced higher F values under the
612 best GES scenario, the catch trajectories produced by the best GES and F_{MSY} scenarios were
613 also similar, even for whiting which experienced a much lower F (0.05) under the best GES
614 scenario the F_{MSY} (0.18) scenario (Fig. S2).

615

616

617 4. Discussion

618

619 The results from the model simulations suggest that the single stock F_{MSY} values currently
620 advised by ICES, if applied to all stocks in WoS, would likely recover cod whilst achieving
621 catches on par with historical levels for most species. This management scenario would also
622 lead to an increase in whiting SSB, but would fail to replenish this stock within safe
623 biological limits, suggesting that the current F_{MSY} value for whiting in ICES area VIa is
624 incompatible with this stock's recovery. In contrast, the results from the simulations
625 exploring the F ranges used in this study suggest that it would be possible to recover both cod
626 and whiting stocks by applying F within these ranges. However, two crucial conditions were
627 necessary for the recovery of both these depleted stocks to happen. Firstly, the recovery of
628 whiting required that the lowest possible F ($F = 0.05$) of the ranges explored was applied to
629 both juvenile and adult whiting. Due to the depleted status of the VIa whiting stock, whiting
630 is no longer targeted in WoS and is currently experiencing an $F_{status\ quo}$ of ca. 0.06 due to
631 bycatches. Juvenile whiting, on the other hand, is caught as bycatch by the small meshed
632 crustacean fisheries targeting the highly valuable *Nephrops* and heavily discarded, with the
633 crustaceans fisheries accounting for 77% of the discards of age 0 and age 1 (i.e. juvenile)
634 groups (ICES, 2016c). As a result, juvenile whiting is currently experiencing an $F_{status\ quo}$ of
635 ca. 0.17 owing to these bycatches. The results observed here strongly suggest that a
636 substantial reduction in the bycatch of juvenile whiting by the crustacean fisheries in order to
637 drastically reduce F on this group is essential to the recovery of the VIa whiting stock. This
638 contradicts the previous findings from Alexander et al. (2015) who concluded that there is
639 insufficient bycatch from crustacean fisheries to prevent the recovery of whiting. While
640 measures to prevent bycatches of juvenile whiting by crustacean fisheries could potentially
641 jeopardise one of the most profitable fisheries in WoS, they will soon become a CFP

642 requirement as the landings obligation is being phased in for demersal stocks (European
643 Commission, 2015), with whiting already identified to become a choke species for the
644 crustacean fisheries in WoS (ICES, 2016c).

645

646 The second requirement for the recovery of cod and whiting identified here is that the
647 simultaneous recovery of cod and whiting was achieved only when the highest possible F of
648 the ranges explored were applied to cod ($F = 0.25$) and saithe ($F = 0.42$). Both cod and saithe
649 are piscivorous top predators (trophic level ca. 4) of the WoS ecosystem. Saithe, alongside
650 with mackerel, is one of the main predators of both juvenile cod (Fig. 6a) and juvenile
651 whiting (Fig. 6b), and the increasing saithe biomass over the historical period has led to an
652 increase in predation pressure on these two juvenile stanzas. Scenarios with the highest
653 possible F on saithe therefore resulted in a decrease in predation mortality on juvenile cod
654 and whiting, thus enabling these two species to recover. Likewise, cod is the main predator of
655 whiting (Fig. 6c) and the third most prevalent predator of juvenile cod after saithe and
656 mackerel (Fig. 6a). Applying the highest possible F on cod therefore limited the increase in
657 predation mortality on whiting, thus enabling its recovery, whilst also limiting cannibalism on
658 juvenile cod and facilitating the recovery of cod. These results suggest that reducing the
659 biomass of saithe, the main predator of juvenile cod and whiting, together with limiting the
660 increase of cod, the main predator of whiting, are necessary to recover the VIa cod and
661 whiting stocks. The fact that the recovery of cod and whiting, two piscivorous top predators,
662 seems unattainable without curbing the increase of another piscivorous top predator, saithe,
663 indicates that it may not be possible to simultaneously maximise the biomass of all demersal
664 piscivorous top predators of the WoS ecosystem (which also include hake and monkfish).
665 Instead, it may be preferable to identify the optimum balance between these species which
666 would achieve sustainable stocks statuses and a healthy food web.

667

668 The concept of ‘balanced fishing’ was first introduced by Garcia et al. (2012) and has gained
669 momentum in recent years as EBFM garnered more attention, although it remains a hotly
670 debated topic (ICES, 2014e). The intricacies and consequences of prey-predator interactions
671 in exploited ecosystems, and the importance of considering them in the management of
672 mixed fisheries are particularly relevant at a time when improved stewardship in the
673 management of European fisheries is leading to the recovery of most commercial stocks
674 (Fernandes and Cook, 2013) resulting in the increase in the biomass of many top predator as
675 they approach their MSY status, with knock-on implications for prey-predator interactions
676 (ICES, 2016h, 2014e). For example, the recovery of the northern hake stock has led to a large
677 increase in the biomass of this top predator across most of northern Europe, including WoS
678 (Baudron and Fernandes, 2015), with repercussions on prey-predator interactions as shown in
679 the North Sea (Cormon et al., 2016). Although a similar increase has yet to be reported for
680 saithe, the biomass trend from survey data presented here suggest that this species has been
681 increasing continuously from 1985 to 2013 in WoS, whilst fish stock recoveries have been
682 linked to a decline in fishing exploitation and associated harvest rates in ICES area VI
683 overall, and the neighbouring ICES area V for saithe specifically (Jayasinghe et al., 2015).
684 The possible application of ‘balanced fishing’ in European fisheries and its consequences for
685 ecosystems are currently being investigated by the ICES Working Group on the Ecosystem
686 Effects of Fishing Activities who concluded that, as fish stock recoveries are expected to
687 have significant trophic effects, ecosystem models such as the one employed here could be
688 used to predict the ecological consequences of stock rebuilding (ICES, 2016h).

689

690 Implementing a cull of grey seals, the main predator of cod and one of the main predators of
691 gadoid fish species in WoS, had little impact overall on the recovery of cod and whiting. Both

692 species were able to recover when no cull was applied, an observation consistent with the
693 previous findings from Alexander et al. (2015) who concluded that the rise in grey seals
694 biomass had not led to the collapse of these species. This observation contradicts, however,
695 the findings from a recent modelling study which suggests that the sustained high mortality
696 due to increased predation from grey seals is preventing the recovery of the VIa cod stock
697 (Cook et al., 2015). Reducing the grey seals population by 5% every year had no impact of
698 the recovery of cod, however a 10% reduction led to cod recovering within safe biological
699 limits a year earlier. While the difference is small, this observation is consistent with another
700 recent modelling study showing that the VIa cod stock recovery under current levels of grey
701 seals predation is possible although it would remain precarious (Cook and Trijoulet, 2016).
702 Here, the results showed that a yearly 10% decrease in grey seals biomass did lead to a
703 slightly earlier cod recovery, suggesting that an increase in grey seals biomass would
704 potentially delay the recovery, a finding consistent with Serpetti et al. (2017) who identified
705 grey seals as exerting a top-down control on their preys. The results presented here also
706 showed that a decrease in grey seals biomass could potentially be detrimental for the whiting
707 recovery: the increase in cod biomass associated with a decrease in grey seals biomass would
708 increase predation mortality on whiting, thus delaying its recovery. This potential impact has
709 not yet been reported for whiting in WoS and highlights the need for considering prey-
710 predator interactions in the management of exploited ecosystems, as mentioned above.
711 Lastly, the best GES scenario identified here included a 5% cull of grey seals, further
712 demonstrating the impact of the abundance of top predators on the food web structure and
713 diversity. However, the small differences observed between scenarios with and without grey
714 seals cull, coupled with the fact that the absence of cull did not prevent the recovery of cod
715 and whiting, do not provide enough support for culling grey seals as a management measure.

716

717 The performance of the exploitation scenarios simulated here towards achieving GES was
718 assessed based on five indicators which only related to three out of the eleven GES
719 descriptors: biodiversity (two indicators), commercial species (one indicator) and food webs
720 (two indicators). GES was therefore not comprehensively assessed in this study as many
721 descriptors were omitted from the analyses since it was not possible to model them due to
722 lack of data (e.g. descriptor 10: Marine litter) or lack of processes included in the model (e.g.
723 descriptor 5: Eutrophication). In addition, apart from the biomass indicator for which
724 reference points are defined for the two depleted stocks, the biodiversity and food web
725 indicators employed here have no clearly established thresholds defined which prevent
726 assessing when GES is reached (i.e. indicator > threshold). This is further complicated by the
727 fact that there is currently no stringent framework the use indicators in assessing GES criteria
728 (Queirós et al., 2016). Lastly, one of the two food web employed, MTI, was calculated using
729 fixed trophic levels per species, a practice not as efficient as the use of variable trophic levels
730 which better detect the impact of fishing pressure (Reed et al., 2017). These drawbacks were
731 mitigated though the use of two indicators for both the biodiversity and food web indicators,
732 and the use of an ad hoc approach employed to identify the best scenario. Notwithstanding
733 these caveats, the combined use of a food web ecosystem model and indicators to the three
734 descriptors directly impacted by fishing enabled identifying the management measures
735 necessary to recover the depleted stocks of cod and whiting, thus addressing the most
736 pressing environmental issue in WoS fisheries, whilst also indicating which management
737 scenario would be most likely to achieve GES. This approach, i.e. using on biodiversity and
738 food web indicators derived from food web ecosystem model simulations, has been
739 successfully employed in previous studies investigating the performance of fishing
740 management scenarios towards the contrasting objectives of MSY and GES (Lynam and
741 Mackinson, 2015; Stäbler et al., 2016). Furthermore, the indicators chosen here did replicate

742 historical trends, indicating that they can be employed to explore future trends and compare
743 candidate scenarios to one another in order to inform management decisions. Such an
744 approach is employed, for example, when using surveillance indicators for which there is
745 insufficient information to establish a clear target (Shephard et al., 2015). Future work using
746 more complex models encompassing more processes would achieve a more comprehensive
747 assessment of GES. For instance, Alexander et al. (2016) have developed a EwE model for
748 WoS built on their previous work (Alexander et al., 2015) which includes a spatial
749 dimension. Such model could allow, for example, mapping trawl fishing activities in WoS
750 and investigating descriptor 6 (Sea-floor integrity), thus improving on the GES assessment
751 presented here.

752

753 The Ecopath model presented here entailed an update of the mass balance model from
754 Alexander et al. (2015), as well as substantial changes to the diet matrix which was
755 extensively revisited. This updated model was recently employed by Serpetti et al. (2017) to
756 assess the long-term impacts of rising sea temperatures on WoS fisheries. In addition, the
757 data time series used to update the Ecosim hindcast period from 1985-2008 to 1985-2013
758 included biomass trends observed from survey data for saithe and monkfish, where
759 previously proxies derived from stock assessment model estimates were used to fit these two
760 top predators (Bailey et al., 2011). This improves the credibility of the model since using raw
761 data avoids the uncertainty and possible errors associated with estimates produced by
762 statistical models (Dickey-Collas et al., 2014), especially when these statistical models were
763 designed for different areas than the model area considered here. Another update was the
764 inclusion of biomass time series of zooplankton and phytoplankton used to fit the model. This
765 addition contributes to further improving the credibility of the model by constraining the
766 model calibration at multiple trophic levels, a practice shown to lead to a better and more

767 credible parameterisation especially when both fishing and environmental effects are
768 considered (Mackinson, 2014). Overall, the updated model showed an improvement of the fit,
769 with the hindcast better reproducing the historical biomass trends of most species compared
770 to the hindcast shown in Alexander et al. (2015) whilst being similar to the hindcast shown
771 by Serpetti et al. (2017). Most importantly, the updated model seems to behave more
772 realistically when performing forward simulations. When reducing F , the biomass estimates
773 produced by the updated model showed a gradual increase, as expected in complex
774 ecosystem where trophic interactions may buffer the impact of a release in F . In contrast, the
775 results shown in Alexander et al. (2015) showed a sudden increase in the biomasses of cod
776 and whiting of several thousands of tonnes within a couple of years when a reduction in F
777 was applied. Whilst not disputing the magnitude of the biomass increase observed by
778 Alexander et al. (2015), such an increase within such a short time seems rather unrealistic.
779 The time scale within which the updated model recovers seems more realistic which is a
780 necessary component when testing fishing management strategies and their impact such as
781 the date when depleted stocks recover, as investigated here.

782

783 Ecosystem modelling is an essential tool for the implementation of EBFM. The inclusion of
784 multiple species spanning several trophic levels and their trophic interactions is necessary to
785 investigate the impact of management strategies on environmental and conservation
786 objectives such as GES (Christensen and Walters, 2005). Yet, as these conservation
787 objectives become a requirement while the latest CFP reform steers European fisheries
788 management away from the traditional approach and towards EBFM, ecosystem modelling
789 tools are still scarcely used in tactical fisheries management which remains very much single
790 stock orientated (Skern-Mauritzen et al., 2015). EwE has benefited from a continuous
791 development spanning over 30 years (Villasante et al., 2016) and has been successfully

792 employed on numerous occasions to investigate marine policy issues (V Christensen and
793 Walters, 2004; Colléter et al., 2015), with recent examples including the investigation of the
794 impact of fisheries management strategies on GES (Lynam and Mackinson, 2015; Stäbler et
795 al., 2016), as implemented in this study. However, the use of EwE as a fisheries management
796 tool has been heavily criticised (Plagányi and Butterworth, 2004), since major pitfalls in the
797 application of EwE can produce misleading predictions about the direction of change caused
798 by management strategies simulated, let alone their magnitude (Christensen and Walters,
799 2004). In addition, it has been shown that EwE models can produce significantly different
800 results from the same analyses depending on how the model has been calibrated (Mackinson,
801 2014), indicating that such models should be employed with care, particularly whilst
802 investigating policy issues. The model employed here has been improved several times since
803 its development (Alexander et al., 2015; Bailey et al., 2011; Haggan and Pitcher, 2005;
804 Serpetti et al., 2017). While the model is able to reproduce historical biomass and catch,
805 suggesting that it successfully captures the dynamics of the WoS food web, many
806 assumptions were made during the parameterisation process. Therefore, the model presented
807 here cannot, in its present state, be employed to make tactical management decisions (e.g.
808 setting a Total Allowable Catch) due to the number of uncertainties linked to the various
809 processes it describes. However, the model could be used to evaluate trade-offs between
810 species, fisheries, and human uses' impacts which is a central part of the ecosystem approach
811 (Kaplan and Marshall, 2016). It may therefore be useful in an EBFM context, possibly
812 alongside the use of traditional tactical models (e.g. stock assessment), to explore various
813 'what if' scenarios, as done here, to inform managers on the likely future trends of biomass
814 and ecosystem indicators.

815

816

817 **5. Conclusion**

818

819 Using a food web ecosystem model to perform management scenario simulations allowed
820 accounting for prey-predator interactions whilst investigating biodiversity and food web
821 indicators related to GES descriptors. The results from this study suggest that the single stock
822 F_{MSY} values currently advised by ICES would recover the VIa cod stock, providing that F_{MSY}
823 is applied to all stocks in VIa, but would fail to recover the VIa whiting stock. The
824 exploration of alternative management scenarios led to the identification of the exploitation
825 levels required to recover both the cod and whiting stocks, and revealed that two conditions
826 are necessary for these recoveries to happen. First, a reduction in the F experienced by
827 juvenile whiting was necessary to recover whiting, indicating that a reduction in the
828 bycatches of young whiting by the crustacean fishery is needed for the VIa whiting stock to
829 recover. Second, the simultaneous recovery of cod and whiting was achieved only when the
830 highest possible F s were applied to both cod, the main predator of whiting, and saithe, the
831 main predator of juvenile cod and whiting, highlighting the need to consider the impact of
832 prey-predator interactions when managing fish stocks. The best GES scenario identified here
833 resulted in biomass trajectories similar to the ones achieved with the single stock F_{MSY}
834 scenario, with the exception of whiting which did not recover under this latter scenario.
835 Likewise, the GES indicators trajectories achieved by the best GES scenario were broadly
836 similar to the ones achieved by the single stock F_{MSY} scenario. Most importantly, the
837 recovery of the cod and whiting stocks was achieved with F values within the F_{MSY} ranges
838 identified by ICES for the six demersal stock considered here, with the exception of whiting.
839 This suggests that the current management measures enforced in European fisheries by the
840 CFP could achieve GES in the WoS ecosystem, provided that existing management issues
841 such as the bycatch of whiting by crustacean fisheries are resolved, and that prey-predator

842 interactions are accounted for, a component which will increasingly be taken into
843 consideration as European fisheries management is evolving towards EBFM.

844

845

846 **6. Acknowledgements**

847

848 Alan R. Baudron, Niall G. Fallon and Paul G. Fernandes were funded by the Horizon 2020
849 European research project MareFrame (grant No. 613571). Natalia Serpetti and Johanna J.
850 Heymans were funded by the Natural Environment Research Council and Department for
851 Environment, Food and Rural Affairs under the Marine Ecosystems Research Programme
852 (MERP) (grant No. NE/L003279/1).

853

854

855 **7. References**

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1112 **8. Tables**

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1114 **Table 1.** Fishing mortalities for the main west of Scotland commercial species used in the
 1115 model simulations with corresponding references. $F_{\text{status quo}}$ corresponds to the last historical F
 1116 value observed (i.e. F_{2013}). F_{MSY} corresponds to the single stock F value from ICES supposed
 1117 to achieve MSY. For demersal species, the $F_{\text{MSY lower}}$ and $F_{\text{MSY upper}}$ values from ICES
 1118 defining the $F_{\text{MSY range}}$ are also given with their corresponding references (* for monkfish,
 1119 since no $F_{\text{MSY range}}$ values are defined for the stock comprising ICES area VIa the $F_{\text{MSY range}}$
 1120 values for ICES areas IIXc and IXa were used instead as best available proxy).

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Fishery	Species	$F_{\text{status quo}}$	F_{MSY}	Reference	$F_{\text{MSY lower}}$	$F_{\text{MSY upper}}$	Reference
Demersal	Cod	0.60	0.17	ICES, 2016c	0.11	0.25	ICES, 2016a
	Whiting	0.06	0.18	ICES, 2016c	0.15	0.18	ICES, 2016a
	Haddock	0.17	0.19	ICES, 2016d	0.18	0.19	ICES, 2016d
	Saithe	0.07	0.36	ICES, 2016d	0.20	0.42	ICES, 2015
	Hake	0.04	0.28	ICES, 2016g	0.18	0.45	ICES, 2016a
	Monkfish	0.14	0.31	ICES, 2016g	0.18*	0.41*	ICES, 2016a
Pelagic	Herring	0.21	0.16	ICES, 2016f			
	Mackerel	0.13	0.22	ICES, 2016e			
	Horse mackerel	0.30	0.09	ICES, 2016e			
	Blue whiting	0.11	0.30	ICES, 2016e			
Crustaceans	Nephrops	0.08	0.109	ICES, 2016c			

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Table 2. Comparison of the eight candidate models fitted with the stepwise fitting procedure showing the total number parameters estimated (equal to the sum of the number of vulnerabilities and the number of spline points of the forcing function estimated), the model sum of squares (SS), the percentage of reduction of SS compared to the baseline model, and the Akaike Information Criterion (AIC). The best fitted model is highlighted in bold.

Model	Description	Number of vulnerabilities	Number of spline points	Total number of parameters estimated	SS	AIC	Fitting: % improvement SS
1	Baseline	0	0	0	1620.04	242.07	-
2	Baseline + trophic effects	0	0	0	1620.04	242.07	0
3	Baseline + environmental forcing	0	5	5	1550.87	192.99	4
4	Baseline + trophic effects + environmental forcing	34	5	39	1177.68	-109.68	27
5	Fishing	0	0	0	1219.31	-142.97	25
6	Fishing + trophic effects	29	0	29	626.61	-985.70	61
7	Fishing + environmental forcing	0	5	5	1113.15	-256.37	31
8	Fishing + trophic effects + environmental forcing	24	3	27	614.30	-1016.76	62

9. Figure legends

Figure 1. Shelf area of the west of Scotland (blue) included in the model.

Figure 2. a: Fishing mortalities used to perform forward simulations, together with the F_{MSY} range from ICES and the F_{MSY} range explored with the model. **b:** Fishing mortalities achieving the earliest recovery of cod and whiting above B_{pa} across all levels of seal cull (no cull, 5% cull and 10% cull) together with the F_{MSY} range values from ICES. **c:** Fishing mortalities identified for the scenario achieving the best GES indicator values overall together with the F_{MSY} range values from ICES.

Figure 3. Food web structure of the model. Nodes represent functional groups within the ecosystem; the size of the node is proportional to the biomass it represents. Biomass flows enter a node from the bottom and exit a node from the top and are scaled to flow proportion. The y-axis indicates the trophic level of the functional groups.

Figure 4. Biomass outputs from the model plotted with the observed biomass data time series used to fit the model (black dots). From 1985-2013, the black line shows the outputs from the model hindcast. From 2014 to 2033, outputs from the forward simulation are shown for the status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the earliest recovery of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull, 5% cull and 10% cull), and the scenario achieving the best GES indicator values overall (in green).

Figure 5. GES indicators calculated from the model outputs plotted with the values calculated from observed data (black dots). From 1985-2013, the black line shows the GES

indicators calculated from the model hindcast. From 2014 to 2033, GES indicators calculated from the forward simulations outputs are shown for the status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the earliest recovery of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull, 5% cull and 10% cull), and the scenario achieving the best GES indicator values overall (in green).

Figure 6. Predation mortality (year^{-1}) under the single stock F_{MSY} scenario experienced by juvenile cod (a), juvenile whiting (b) and whiting (c).

Supplementary figure S1. The three spline points forcing function (in grey) from the best model identified by the fitting procedure plotted together with the environmental indices Sea Surface Temperature (SST), North Atlantic Oscillation (NAO) and Atlantic Multidecadal Oscillation (AMO). On each panel, the index smoothed values and the obtained by fitting a Loess (local regression) smoothing curve with a span of 0.5 (thick black line) are shown alongside the raw values (thin black line) for easier visual comparison with the trend of the forcing function.

Supplementary Figure S2. Catch outputs from the model plotted with the observed biomass data time series used to fit the model (black dots). From 1985-2013, the black line shows the outputs from the model hindcast. From 2014 to 2033, outputs from the forward simulation are shown for the status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the fastest recovery of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull, 5% cull and 10% cull), and the scenario achieving the best GES indicator values overall (in green).

Figure 1

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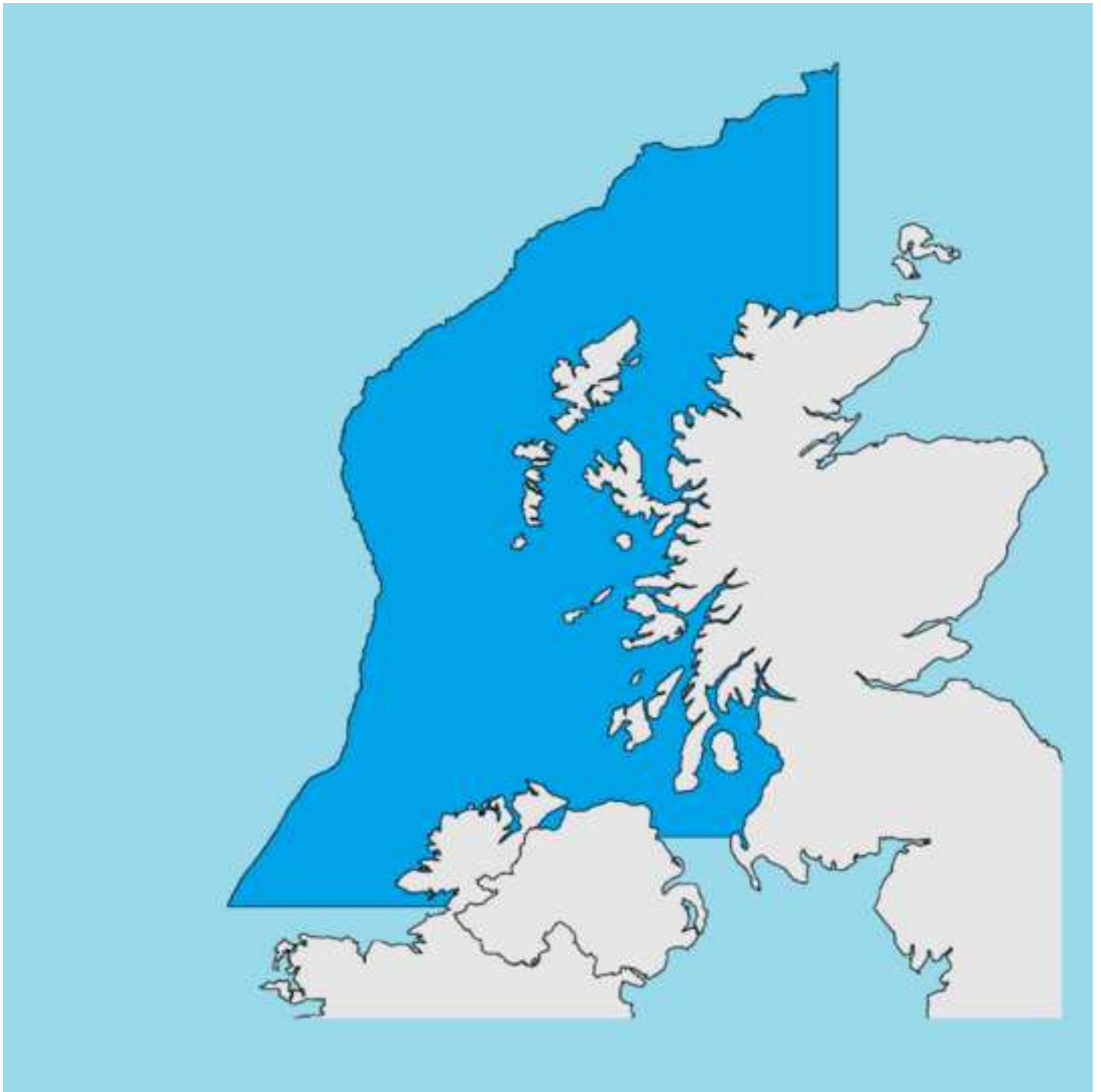


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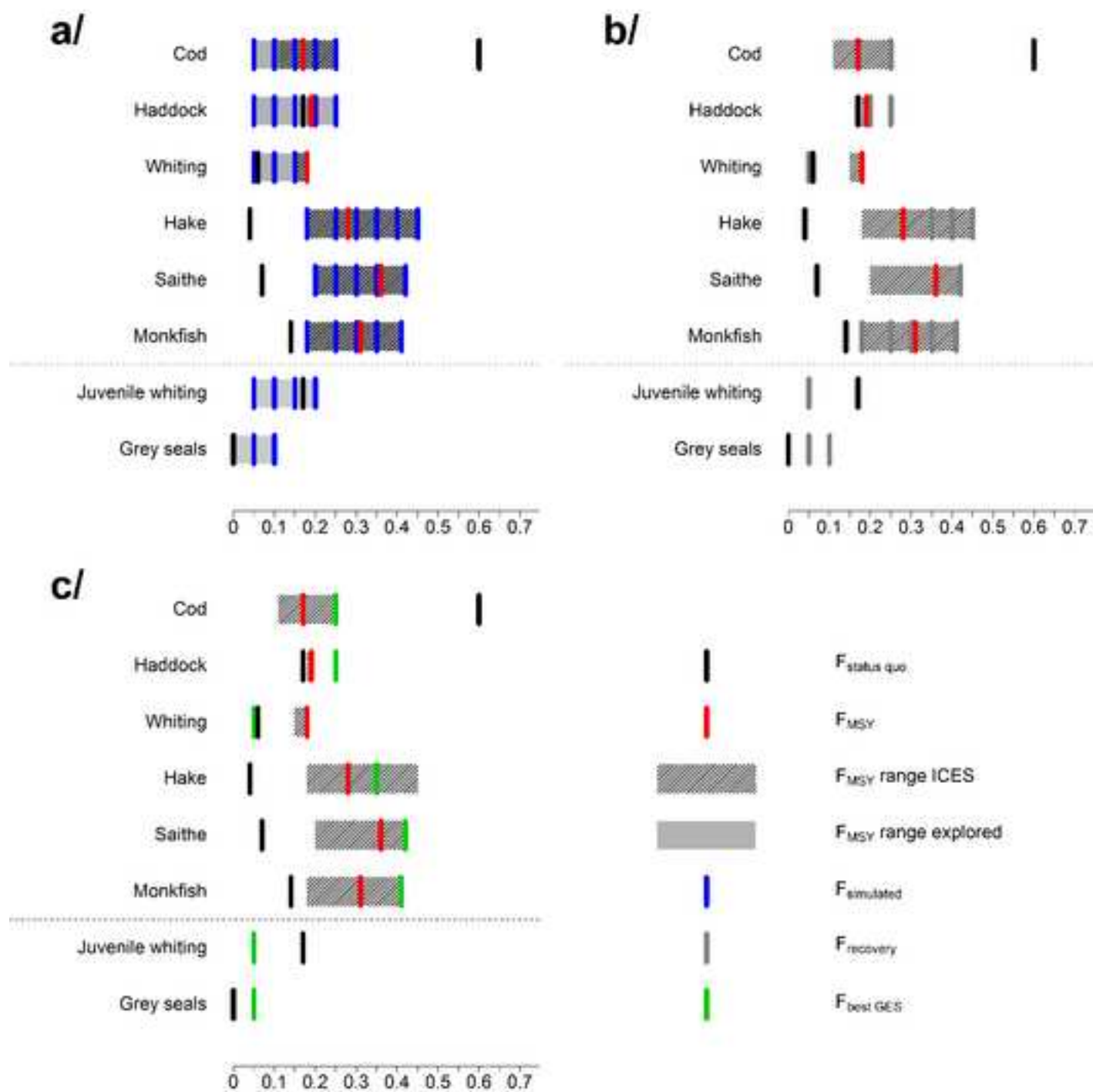


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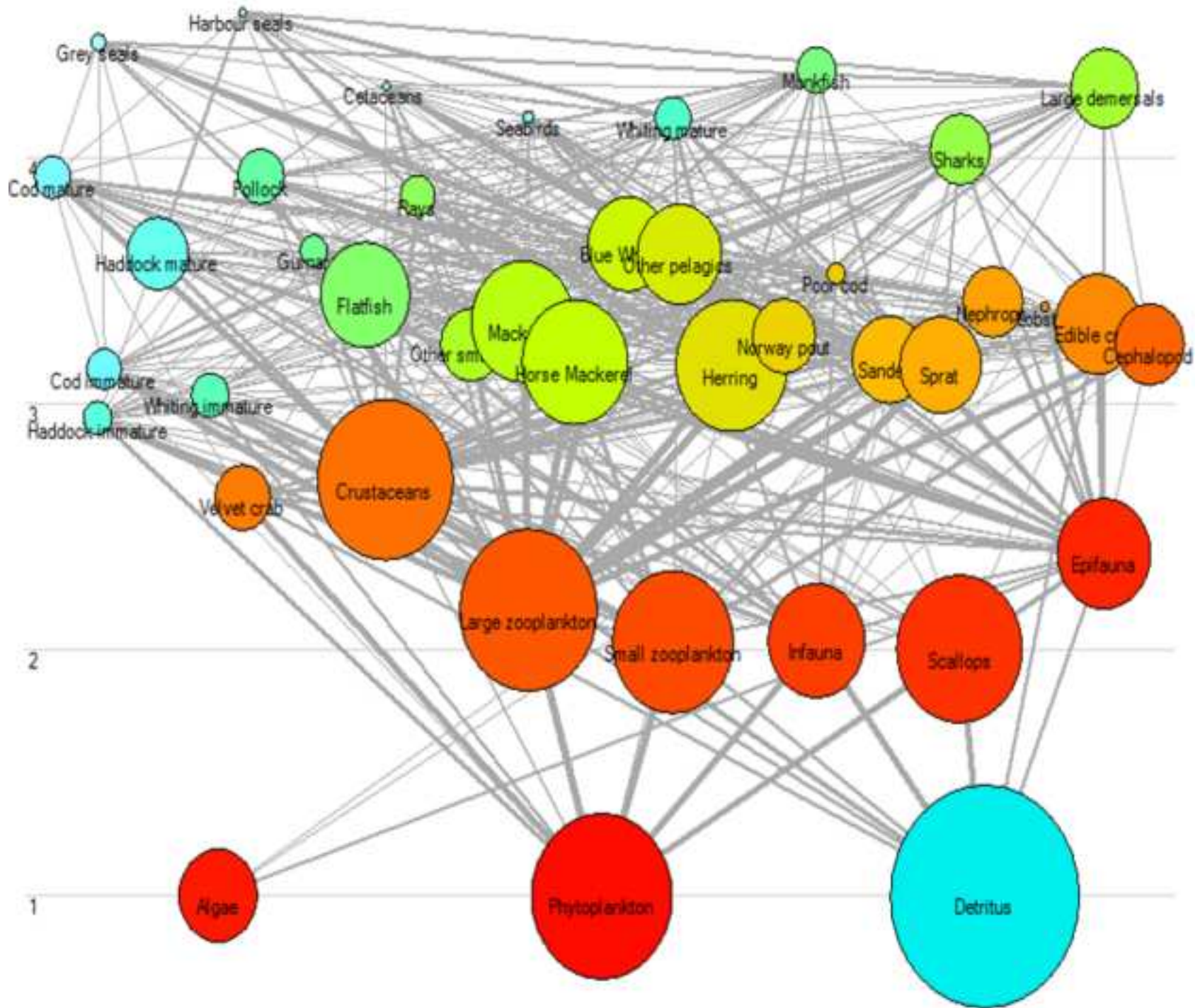


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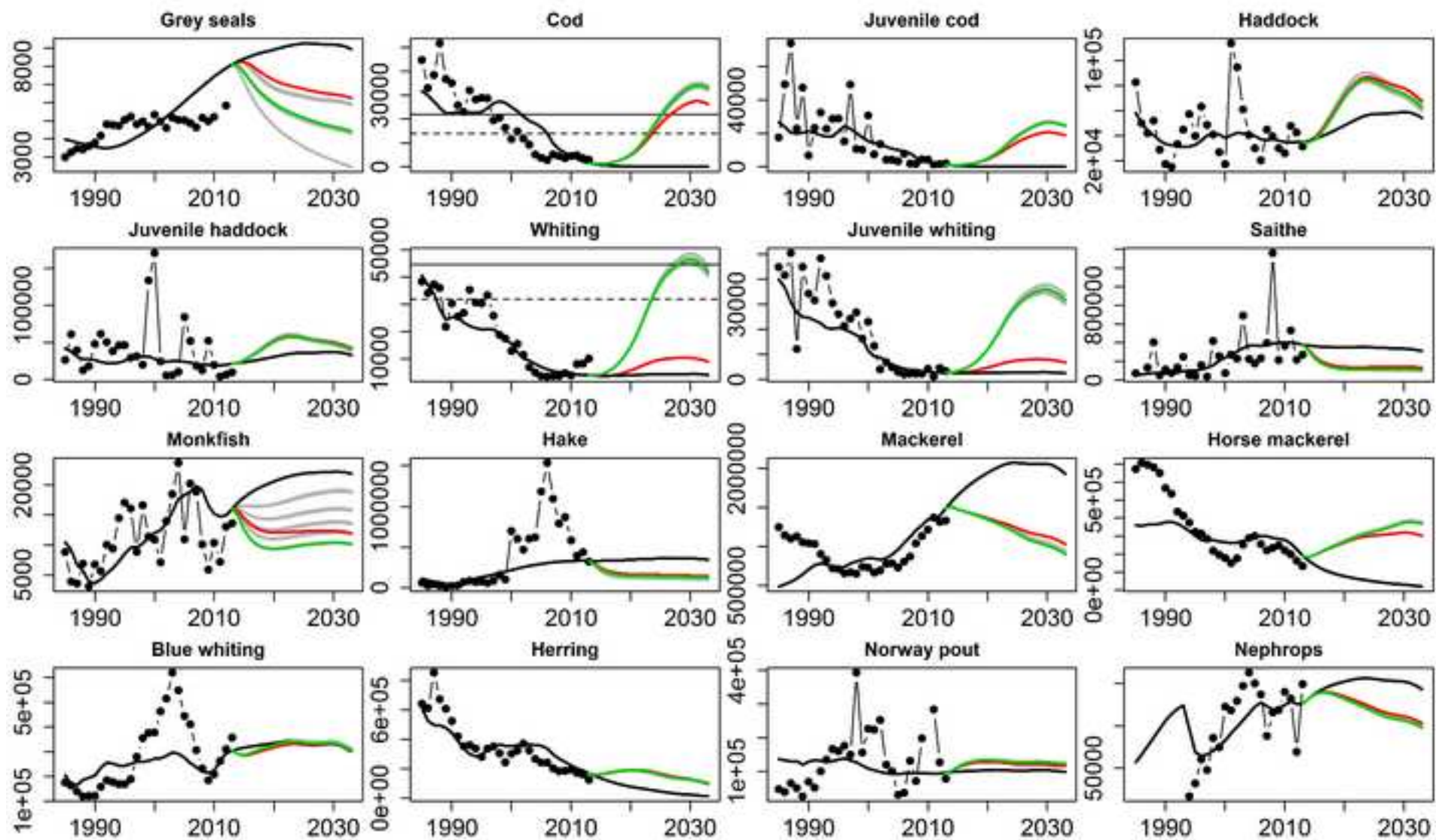


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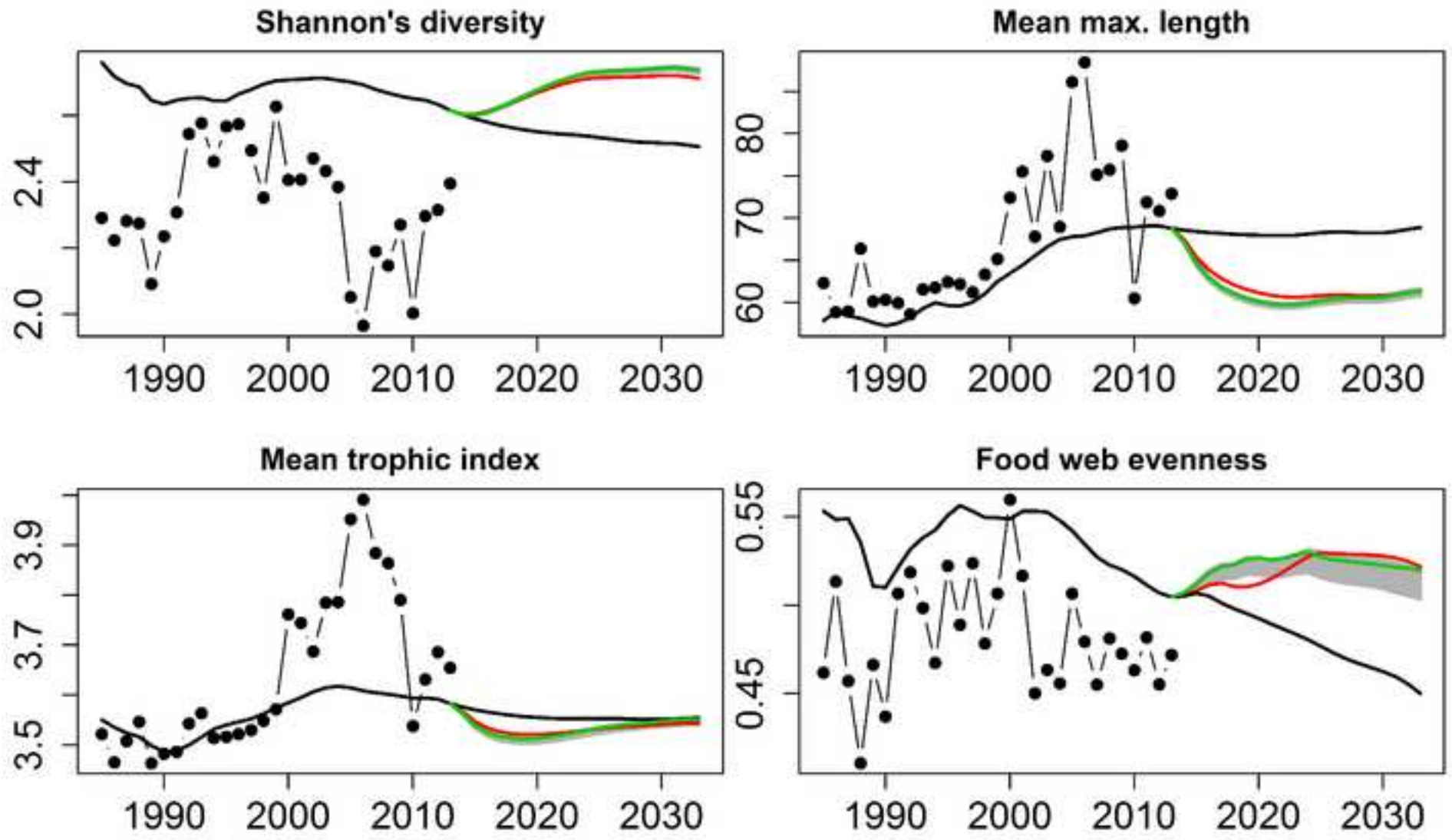


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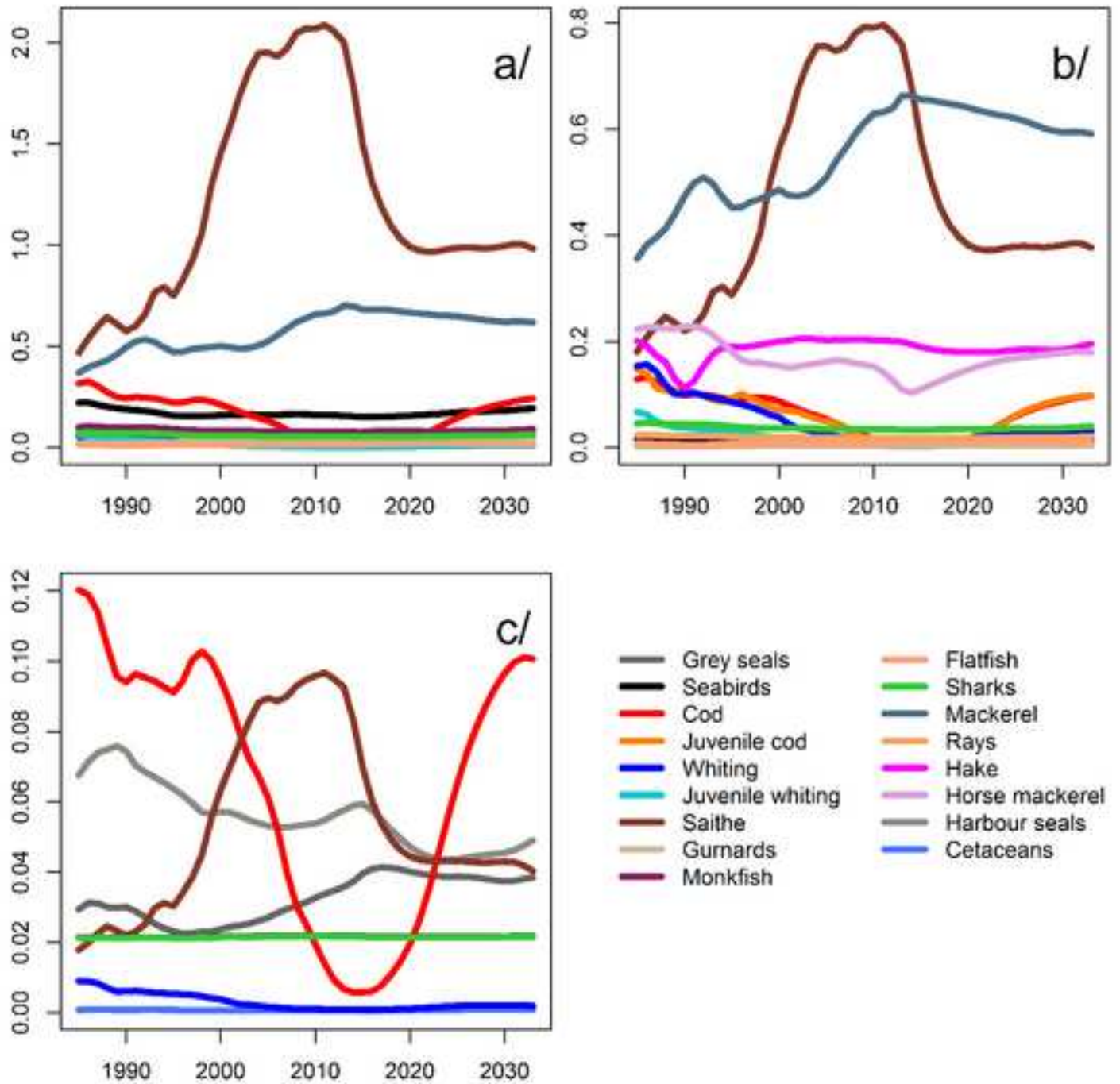


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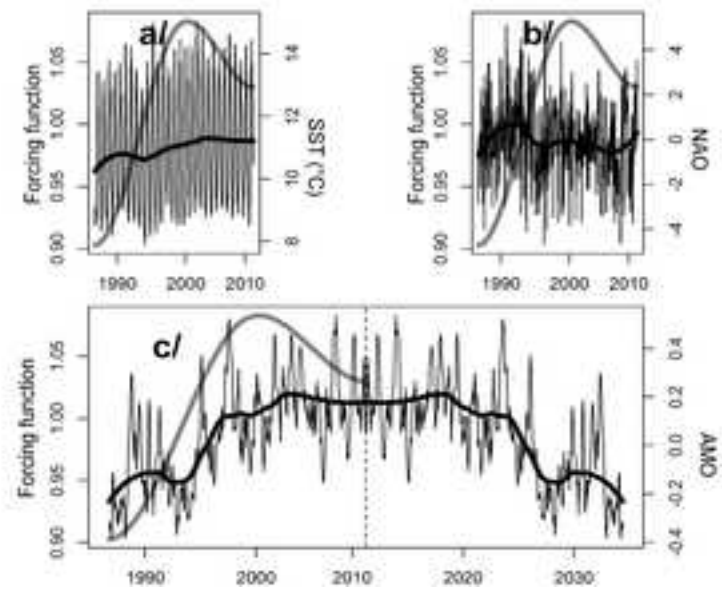
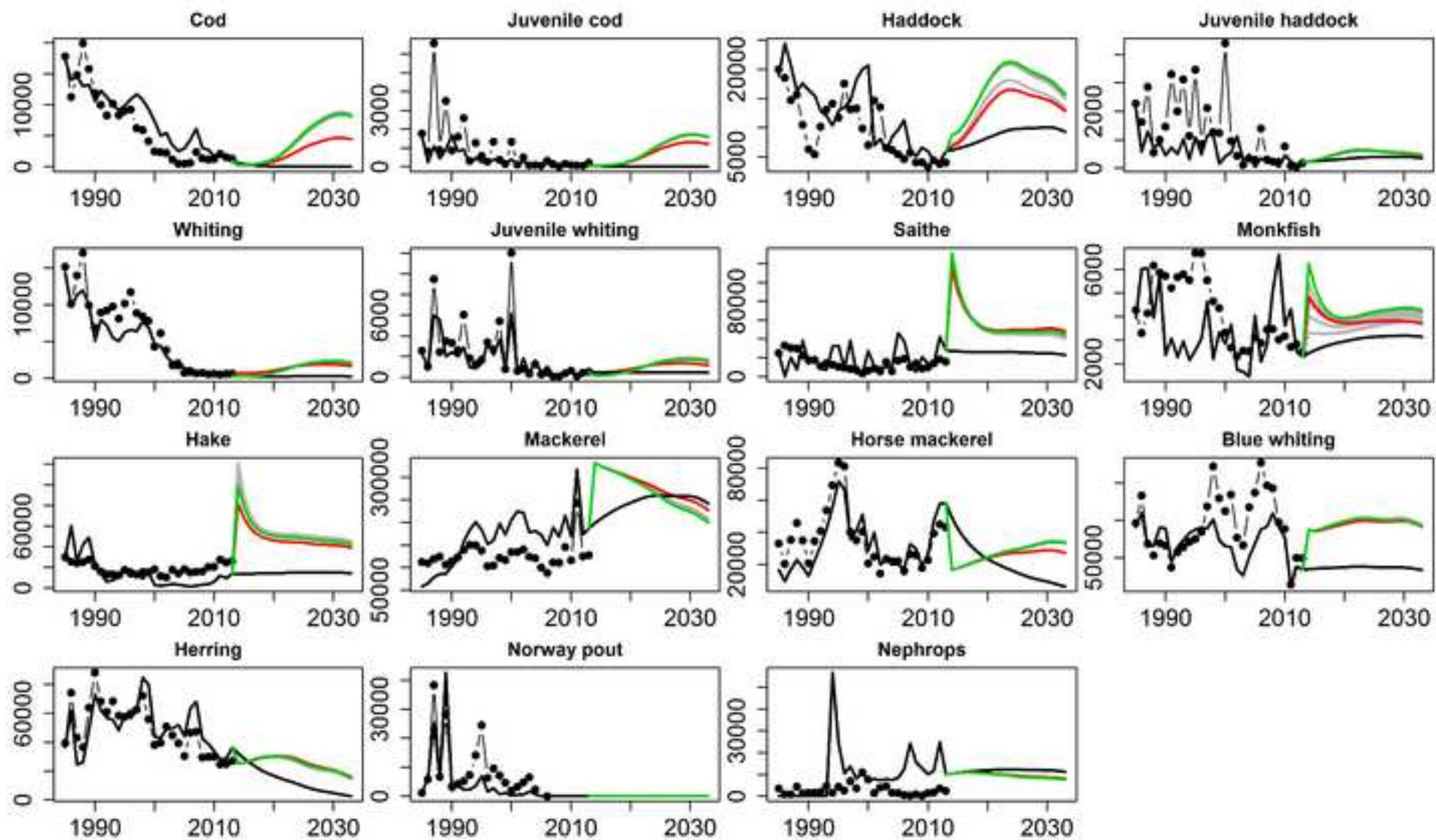


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